## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hiroaki MATSUMURA Art Unit: Application No.: filed concurrently Examiner:

Filed: November 25, 2003

Title : RIDGE WAVEGUIDE SEMICONDUCTOR LASER DIODE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to his duty of good faith and candor as set forth in 37 CFR 1.56(a), applicant requests that the enclosed reference cited on attached form PTO-1449 be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104.

Please note that Japanese Patent Publication No. 2000-58965 is discussed on page 2 of the specification. An English language abstract of this Japanese reference is also enclosed.

This Statement is being filed under 37 CFR 1.97(b) prior to the mailing date of a first Office Action on the merits.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached at the telephone number listed below.

Respectfully submitted,

Date: November 25, 2003

Randolph A. Smith Reg. No. 32,548

## SMITH PATENT OFFICE

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Suite 200

Washington, D.C. 20006-3433

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<sup>\*</sup>Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE	Application Number	Filed concurrently		
STATEMENT BY APPLICANT	Filing Date	November 25, 2003		
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(use as many sheets as necessary)	Group Art Unit			
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